MM Science Journal | www.mmscience.eu ISSN 1803-1269 (Print) | ISSN 1805-0476 (On-line) Special Issue | TEAM 2024

Transdisciplinary and Emerging Approaches to Multidisciplinary Science 11.9.2024 – 13.9.2024, Ostrava, Czech Republic

DOI: 10.17973/MMSJ.2024_12_2024125



TEAM2024-00038

GAUSSIAN TRANSFER FUNCTIONS BASED BINARY PARTICLE SWARM OPTIMIZATION FOR ENHANCED PERFORMANCE IN UN-CAPACITATED FACILITY LOCATION PROBLEM

Kanak Kalita ^{1,2,*}, Lenka Cepova ³, Pradeep Jangir ^{4,5,6,7}

¹ Department of Mechanical Engineering, Vel Tech Rangarajan Dr, Sagunthala R&D Institute of Science and

Technology, Avadi 600062, India. drkanakkalita@veltech.edu.in.

² Jadara Research Center, Jadara University, Irbid 21110, Jordan.

³ Department of Machining, Assembly and Engineering Metrology, Faculty of Mechanical Engineering, VSB-Technical University of Ostrava, 70800 Ostrava, Czech Republic. lenka.cepova@vsb.cz.

⁴ Department of Biosciences, Saveetha School of Engineering. Saveetha Institute of Medical and Technical Sciences, Chennai 602105, India. pkjmtech@gmail.com.

⁵ University Centre for Research and Development, Chandigarh University, Mohali 140413, India.

⁶ Department of CSE, Graphic Era Hill University. Graphic Era Deemed to Be University, Dehradun 248002, India.

⁷ Applied Science Research Center, Applied Science Private University, Amman 11931, Jordan.

*Corresponding author; e-mail: drkanakkalita@veltech.edu.in

Abstract

This study introduces Gaussian Binary Particle Swarm Optimization (G-BPSO), designed to address binary optimization challenges effectively. G-BPSO employs new transfer functions of the Gaussian type derived from the power functions to enable mapping of real-valued vectors of individual encodings into binary form. This ensures smooth change between steps and improved convergence. To assess the effectiveness of G-BPSO, a host of complex optimization problems such as the un-capacitated facility location problem are investigated. Enhanced efficiency and improvement over existing methods in binary optimization is observed. The MATLAB code of G-BPSO is made open-access through https://github.com/kanak02/GBPSO.

Keywords:

Evolutionary algorithm, Particle swarm optimization, Gaussian shaped, Transfer function, Optimization, Discrete optimization

1 INTRODUCTION

Binary integer optimization challenges are a type of combinatorial optimization problems namely binary optimization problems (BOPs) which includes problems like 0-1 knapsack problem (0-1KP) [Abdel-Basset 2024], uncapacitated facility location problem (UFLP) [Ozsoydan 2024], maximum coverage problem (MCP) [Baldomero-Naranjo 2024], feature selection problem (FSP) [Premalatha 2024], software and hardware partitioning problem (HW/SW) [Deng 2024]. These problems have wide applications ranging from information technology, economic management, industrial engineering to telecommunications [Blekos 2024]. It is important to note that for BOPs, the solution is bounded to binary value only i.e., 0 or 1, giving a function solution of $\{0,1\}^n$. This limitation reduces the applicability of traditional deterministic algorithms for large-scale BOPs and thus, has motivated researchers to turn to stochastic algorithms. Among such stochastic algorithms, evolutionary algorithms (EAs) are perhaps the most favored ones [Abdel-Basset 2024].

Particle Swarm Optimization (PSO) is an extremely popular EA that mimics the characteristics of bird swarms [Eberhart 1995]. Its simple design and easy computation are some of the reasons why people have embraced it so readily. Most discrete optimization problems have binary search spaces and hence requires the use of binary-based algorithms for solving them. For instance, to solve Binary HS (BHS) problem, HS basic principles and pitch adjustment rules were used [Ling 2010a]; Ling et al. [Ling 2010b] employed a probability estimation operator in doing modification on the DE for discrete problems. Similarly, new algorithms such as Binary MOA [Mirjalili 2012] and Binary GSA [Rashedi 2009] have been created, utilizing transfer functions and updated positioning rules to maintain the characteristics of continuous algorithms.

Kennedy and Eberhart proposed the binary version of PSO known as BPSO in 1997 [Kennedy 1997], which had different features from that of continuous PSO including a new transfer function and a modification in the method of updating the positions of different particles. This adaptation assists in mapping the continuous search space to binary states and shifts the position of particles in binary arenas. It was also reported in [Luh 2011] that the initial BPSO has some limitations such as local optima entrapment and they

further modified it to optimize its performance. To apply these algorithms for BOPs, there are techniques developed to discretize EAs by use of transfer functions [Mirjalili 2013]. Transfer functions can be divided into two main groups— S-shaped and V-shaped [Mafarja 2017]. These functions help in converting a real vector to a binary vector that can be used for discretizing EAs to work on BOPs.

In this paper, novel Gaussian-shaped transfer functions are proposed and analyzed to improve the convergence rates of the algorithm and to prevent it from being trapped in local minima. Using the proposed transfer functions, a novel binary PSO variant called G-BPSO is introduced. Further, a comprehensive exposition of the open-source MATLAB version of G-BPSO for UFLP binary problems is given. The original and in-house developed source code of the study along with the datasets used in the analysis, the possible results and diagrams are made available through GitHub.

2 PROPOSED GAUSSIAN-SHAPED TRANSFER FUNCTIONS

A transfer function is instrumental in evaluating the probability of transitioning between the values of the position vector elements, from 0 to 1 and vice versa in a binary environment [Rashedi 2009]. This mechanism forces the particles to move within these restrictions. The characteristics of the transfer functions are—

The output of a transfer function must be within the interval [0,1] meaning the probability of a particle changing positions.

The transfer functions should therefore give a higher probability of a position change for particles having large absolute velocities as this indicates that the particles are far from the optimum solution in the current iteration and thus, change of position is needed in the next iteration.

On the other hand, a low value of absolute velocity should be associated with low probability of position change.

The probability indicated by a transfer function should increase with the velocity, suggesting that particles moving away from the optimal solution are more likely to adjust their position vectors to revert to favorable states.

Similarly, the probability should decrease as the velocity lessens, aligning movement closer to the optimal trajectory.

The Gaussian transfer function employed in the traditional BPSO [Mafarja 2017] is exemplified by Equation (1) and shown in Fig. 1. Analysis of Fig. 1 reveals the introduction of four novel transfer functions.

$$G1(x) = e^{-(x)^2}; G2(x) = e^{-(x/2)^2}; G3(x) = e^{-(x/3)^2}; G4(x) = e^{-(x/4)^2}$$
(1)

Due to their characteristic curves, these are termed Gaussian-shaped transfer functions and collectively, they are referred to as the G-shaped family of transfer functions. Position updating rules for this family prompts particles to adopt either a value of 0 or 1, reinforcing the binary nature of their operation.

3 GAUSSIAN-SHAPED BINARY PARTICLE SWARM (G-BPSO) ALGORITHM

PSO is an evolutionary computation method originally developed by Kennedy and Eberhart Eberhart 1995]. This technique draws inspiration from the social behavior observed in bird flocking. It utilizes a collection of particles (candidate solutions) that navigate the search space to identify the optimal solution. As they move, these particles track the most promising location (best solution) encountered along their paths. Essentially, each particle evaluates its own best-found solution and also considers the best solution achieved by the entire swarm. In PSO, every particle must account for its present position, its current velocity, its proximity to its personal best solution (*pbest*) and its distance to the swarm best solution (*gbest*) to adjust its trajectory. The mathematical formulation of PSO is outlined as follows:

$$\begin{aligned} v_i^{t+1} &= wv_i^t + c_1 \times rand \times (pbest_i - x_i^t) + c_2 \times rand \times \\ (gbest - x_i^t) & (2) \\ x_i^{t+1} &= x_i^t + v_i^{t+1} & (3) \end{aligned}$$

In equations (2) and (3), v_i^t represents the velocity of particle *i* at iteration *t*, where *w* is a weight function, c_1 and c_2 are acceleration coefficient and *rand* is a randomly generated number between 0 and 1. The term x_i^t denotes the current position of particle *i* at iteration *t*, *pbest*_i refers to the best solution that particle *i* has achieved to date and *gbest* signifies the optimal solution discovered by the swarm up to that point.





In the continuous variant of PSO, particles navigate the search space using position vectors within the real-valued domain. This allows for straightforward position updating, where velocities are simply added to positions as shown in Equation (3). Within this binary context, where only the values 0 and 1 are possible, traditional position updating using Equation (3) is not applicable. Consequently, an alternative method must be developed to employ velocities in toggling agents' positions between 0 and 1. Essentially, a connection between velocity and position must be established, necessitating a revision of the position updating process defined in Equation (3). In binary spaces, updating a position fundamentally involves switching between the 0 and 1 states, guided by the velocities of the agents.

The idea is somewhat entrenched in changing the position of an agent according to the probability deduced by its velocity. To achieve this, a transfer function is used which transforms velocity measures into probabilities determining position changes. Originally, the binary version of PSO (BPSO) was presented by Kennedy and Eberhart [Kennedy 1997] as an extension of the basic PSO for binary-valued problem spaces. However, the original BPSO also has the problem of easily falling into the local optimum and there are many improved models to get over this drawback.

In this adaptation, particles are restricted to a pure search space with position vectors that must be set to 0s and 1s only. The use of the velocities is to abstract the probability of a bit taking 0 or 1 states. Equation (4) is a Gaussian function that is employed as the transfer function in order to

normalize all the real-valued velocities between 0 and 1 as probabilities.

$$G(v_{i,j}(t)) = e^{-(v_{i,j})^2}(t)$$
(4)

This indicates the velocity of particle i at iteration t in the jth dimension. Once velocities are transformed into probability values, the position vectors are then updated based on these probabilities, as per Equation (5).

$$x_{i,j}(t+1) = \begin{cases} 0 & \text{If } rand < G(v_{i,j}(t+1)) \\ 1 & \text{If } rand \ge G(v_{i,j}(t+1)) \end{cases}$$
(5)

4 G-BPSO FOR SOLVING UFLP

4.1 Definition and Mathematical Model of UFLP

The UFLP, originally formulated by Kuehn and Hamburger [Kuehn 1963], is identified as one of the significant NP-hard problems in location theory complexities [Alultan 1999]. UFLP is crucial in many aspects which include warehouse design and operations, designing a network for a supply chain, logistics and transportation and planning the location of public facilities [Ghaderi 2013]. The problem is defined as follows—

A set of customers $K = \{k_1, k_2, ..., k_m\}$ exists, where *m* is the number of customers and k_i represents the *i*-th customer. There is also a set of potential facilities S = $\{s_1, s_2, ..., s_n\}$, where *n* denotes the number of facilities and s_j the *j*-th facility. An $m \times n$ service matrix $D = [d_{ij}]_{m \times n}$ details the service cost for servicing the *i*-th customer from the *j*-th facility. $G = \{g_1, g_2, ..., g_n\}$ outlines the fixed costs to open each facility. The objective of UFLP is to determine which facilities to open and how to allocate them to customers so as to minimize the combined costs of service and facility opening. The mathematical model in Equations (6-9), for UFLP can be expressed as a minimizing problem, *i.e.*.

Minimize

$$f(X,W) = \sum_{i=1}^{m} \sum_{j=1}^{n} d_{ij}w_{ij} + \sum_{j=1}^{n} g_j x_j$$
(6)

$$s.t.\sum_{j=1}^{n} w_{ij} = 1, i = 1, 2, ..., m$$
 (7)

$$w_{ij} \le x_j, i = 1, 2, ..., m, j = 1, 2, ..., n$$
 (8)

$$w_{ij}, x_j \in \{0, 1\}, i = 1, 2, \dots, m, j = 1, 2, \dots, n$$
 (9)

where $W = [w_{ij}]_{m \times n}$, $w_{ij} = 1$ if customer k_i is serviced by facility s_j and $w_{ij} = 0$. $x_j = 1$ if facility s_j is open. The UFLP instances can be divided into 4 classes by their size $n \times m$: the first is 16 instances with size 16×50, named Cap41~Cap44, Cap61~Cap64, Cap71~Cap74 and Cap81~Cap84.

4.2 Computational Experiments

All computations were performed on standard PCs within Microsoft Windows 10 and equipped with AMD Ryzen3 2200G processors running at 3.50 GHz and 8 GB RAM. All algorithms were developed in the MATLAB programming language within the Code environment. The performance of G-i-BPSO (i = 1, 2, 3, 4) for solving UFLP is measured. This comparison aims to demonstrate the high competitiveness of G-BPSO in solving UFLP challenges. Each algorithm was run independently 10 times per UFLP instance with search agent 40 and maximum iterations 100.

4.3 Comparison and Analysis of Computational Results for UFLP

As observed from Tab. 1, the minimum average for the algorithm G1-BPSO is the smallest among other algorithms whenever this algorithm gives the best solution. Notably, G1-BPSO provides better results for Cap43, Cap44, Cap63, Cap64, Cap74, Cap81, Cap83 and Cap84. In fact,

G1-BPSO obtains the minimum value of the objective functions in 8 of the 16 problems of the UFLP. These are Cap43, Cap44, Cap63, Cap64, Cap74, Cap81, Cap83 and Cap84. This proves that G1-BPSO has a good characteristic to converge to the minimum solution throughout all the iterations. The performance of the G4-BPSO is better in the other problems in some ways. Notably, G4-BPSO performs the best on Cap41, Cap62, Cap71 and Cap72. G4-BPSO reaches the minimum value in the lowest level in 4 of the 16 problems which confirms the efficiency of the algorithm in finding the optimal solutions. For problems Cap42 and Cap61 the minimum values are the lowest and both G1-BPSO and G4-BPSO algorithms give similar results. In contrast, G2-BPSO demonstrates its efficiency in Cap82 and reaches the minimum value. On the other hand, the G3-BPSO is the best for Cap74 because it can solve the best solution as seen in this problem. As can be seen, G1-BPSO has the smallest minimum in 50% of the problems (8 out of 16) proving that it is the most successful method in finding the exact solution. G4-BPSO accomplishes this 25% of the time (4 out of 16) and demonstrates its capacity but not its reliability in contrast to G1-BPSO. This again shows that G2-BPSO and G3-BPSO have lower reliability than G1-BPSO because each of them finds the lowest minimum value in one of the problems. This consistent performance of G1-BPSO indicates that the algorithm is guite stable in terms of its performance demonstrated by the convergence curve in Fig. 2.

The maximum values of G1-BPSO are the lowest among all the tested problems in most of the cases when it shows the highest performance. The G1-BPSO performs better for the Cap41, Cap42, Cap43, Cap44, Cap61, Cap64, Cap73, Cap81, Cap83 and Cap84. Namely, G1-BPSO yields the best result in terms of the maximum value in 10 out of the 16 considered UFLP problems. Some of these are Cap41, Cap42, Cap43, Cap44, Cap61, Cap64, Cap73, Cap81, Cap83 and Cap84. This consistent performance in attaining lower maximum values speaks volume on the reliability of G1-BPSO to hold stable solutions across iterations. For problems Cap62 and Cap82, G2-BPSO is better as it yields the lowest maxima. G2-BPSO does this in 2 out of the 16 problems, which shows that the proposed approach can provide optimal solutions to these problems. In cases of problems Cap63 and Cap74, G4-BPSO is better, as it yields the lowest maximum values. This is done in 2 of the 16 problems which proves that G4-BPSO has the capacity to arrive at the best solutions in these kinds of problems. In problem Cap71, G3-BPSO is the best as it minimizes the maximum value. In problem Cap72 G2-BPSO, G3-BPSO and G4-BPSO are at par with the other as they have the least maximum values. From the results displayed above, it is seen that the G1-BPSO has the lowest maximum value in 63% of the problems which signify that the algorithm is excellent in finding the optimal solutions. G2-BPSO succeeds in doing this in 13% of the problems (2 out of 16) proving its proficiency but at the same time its unpredictability concerning to G1-BPSO. G4-BPSO also succeeds in attaining this in 13% of the problems (2 out of 16). G3-BPSO accomplish this in 6% of the problems (1 out of 16). G1-BPSO has shown a consistent performance in all the runs thus indicating the ability of the algorithm to perform optimally in all the runs.

Tab. 1: Performance metrics of G-BPSO family algorithms for UFLP problems

| Problems | Algorithm | Minimum | Maximum | Average | Std. | Time |
|----------|-----------|-------------|-------------|-------------|-------------|-------------|
| | G1-BPSO | 933568.9 | 933876.3 | 933722.6 | 217.3646245 | 117.1013296 |
| Cap41 | G2-BPSO | 937268.8875 | 938514.65 | 937891.7688 | 880.8871115 | 77.6718501 |
| | G3-BPSO | 933568.9 | 936363.2 | 934966.05 | 1975.868479 | 84.4352743 |
| | G4-BPSO | 932615.75 | 937692.325 | 935154.0375 | 3589.680608 | 105.942596 |
| | G1-BPSO | 977799.4 | 977799.4 | 977799.4 | 0 | 110.5861844 |
| Cap42 | G2-BPSO | 981538.85 | 981538.85 | 981538.85 | 0 | 110.2323077 |
| | G3-BPSO | 983549.675 | 983752.55 | 983651.1125 | 143.4542882 | 110.8551864 |
| | G4-BPSO | 977799.4 | 982615.75 | 980207.575 | 3405.673746 | 110.2475591 |
| | G1-BPSO | 1010641.45 | 1012643.688 | 1011642.569 | 1415.795714 | 110.7569178 |
| Cap43 | G2-BPSO | 1011067.65 | 1014341.238 | 1012704.444 | 2314.77592 | 110.1741575 |
| | G3-BPSO | 1010808.163 | 1014491.4 | 1012649.781 | 2604.442213 | 111.4355116 |
| | G4-BPSO | 1013856.45 | 1014767.438 | 1014311.944 | 644.1654388 | 110.0220761 |
| | G1-BPSO | 1034976.975 | 1034976.975 | 1034976.975 | 0 | 127.4650759 |
| Cap44 | G2-BPSO | 1037717.075 | 1042713.15 | 1040215.113 | 3532.758512 | 128.8655808 |
| | G3-BPSO | 1037717.075 | 1046508.088 | 1042112.581 | 6216.184552 | 124.2724103 |
| | G4-BPSO | 1044253.438 | 1056220.588 | 1050237.013 | 8462.052916 | 122.4181605 |
| | G1-BPSO | 933568.9 | 934622.575 | 934095.7375 | 745.0607377 | 120.906408 |
| Cap61 | G2-BPSO | 932615.75 | 938222.0375 | 935418.8938 | 3964.243909 | 124.2333037 |
| | G3-BPSO | 933568.9 | 938110.625 | 935839.7625 | 3211.484546 | 119.2123679 |
| | G4-BPSO | 932615.75 | 935152.2875 | 933884.0188 | 1793.602867 | 125.7635494 |
| | G1-BPSO | 979099.6125 | 980176.5125 | 979638.0625 | 761.4832927 | 107.3647425 |
| Cap62 | G2-BPSO | 977799.4 | 977799.4 | 977799.4 | 0 | 124.7331869 |
| | G3-BPSO | 977799.4 | 981649.35 | 979724.375 | 2722.325752 | 116.7499259 |
| | G4-BPSO | 977799.4 | 978876.3 | 978337.85 | 761.4832927 | 113.5375082 |
| | G1-BPSO | 1010641.45 | 1020091.513 | 1015366.481 | 6682.203276 | 137.4832544 |
| Cap63 | G2-BPSO | 1010808.163 | 1015508.938 | 1013158.55 | 3323.949879 | 140.3122942 |
| | G3-BPSO | 1014097.288 | 1017249.375 | 1015673.331 | 2228.862446 | 118.5596177 |
| | G4-BPSO | 1012476.975 | 1012643.688 | 1012560.331 | 117.8835393 | 63.2874312 |
| | G1-BPSO | 1034976.975 | 1034976.975 | 1034976.975 | 0 | 131.2889561 |
| Cap64 | G2-BPSO | 1034976.975 | 1037717.075 | 1036347.025 | 1937.543291 | 117.8053658 |
| | G3-BPSO | 1034976.975 | 1037717.075 | 1036347.025 | 1937.543291 | 121.7511571 |
| | G4-BPSO | 1037717.075 | 1040641.45 | 1039179.263 | 2067.845393 | 112.283952 |
| | G1-BPSO | 932615.75 | 933568.9 | 933092.325 | 673.9788285 | 130.918797 |
| Cap71 | G2-BPSO | 936638.65 | 937268.8875 | 936953.7688 | 445.64521 | 126.979329 |
| | G3-BPSO | 932615.75 | 932615.75 | 932615.75 | 0 | 127.7031067 |
| | G4-BPSO | 933568.9 | 934199.1375 | 933884.0188 | 445.64521 | 127.125402 |
| | G1-BPSO | 977799.4 | 981538.85 | 979669.125 | 2644.190453 | 122.9883171 |
| Cap72 | G2-BPSO | 977799.4 | 978876.3 | 978337.85 | 761.4832927 | 121.6306033 |
| | G3-BPSO | 977799.4 | 978876.3 | 978337.85 | 761.4832927 | 129.8552684 |
| | G4-BPSO | 977799.4 | 978876.3 | 978337.85 | 761.4832927 | 123.7230272 |
| | G1-BPSO | 1010641.45 | 1010641.45 | 1010641.45 | 0 | 109.4152445 |
| Cap73 | G2-BPSO | 1010641.45 | 1012476.975 | 1011559.213 | 1297.912175 | 109.5062754 |
| | G3-BPSO | 1010808.163 | 1013932.9 | 1012370.531 | 2209.523076 | 109.7488977 |
| | G4-BPSO | 1012476.975 | 1013506.7 | 1012991.838 | 728.1255303 | 109.1736951 |
| | G1-BPSO | 1037717.075 | 1045383.788 | 1041550.431 | 5421.184398 | 109.3015241 |
| Cap74 | G2-BPSO | 1037717.075 | 1048082.325 | 1042899.7 | 7329.338564 | 109.143237 |

| | G3-BPSO | 1034976.975 | 1052179.638 | 1043578.306 | 12164.11931 | 109.4911478 |
|-------|---------|-------------|-------------|-------------|-------------|-------------|
| | G4-BPSO | 1037717.075 | 1040641.45 | 1039179.263 | 2067.845393 | 108.6813492 |
| | G1-BPSO | 799695.025 | 800652.0375 | 800173.5313 | 676.7100284 | 146.7019072 |
| Cap81 | G2-BPSO | 803698.625 | 805409.2625 | 804553.9438 | 1209.603376 | 148.8237855 |
| | G3-BPSO | 805859.1125 | 808847.0125 | 807353.0625 | 2112.764352 | 146.4893845 |
| | G4-BPSO | 805818.825 | 805880.05 | 805849.4375 | 43.29261268 | 146.3145998 |
| | G1-BPSO | 862562.8 | 864993.75 | 863778.275 | 1718.94123 | 147.6058885 |
| Cap82 | G2-BPSO | 860960.2625 | 862260.475 | 861610.3688 | 919.3890757 | 146.9550613 |
| | G3-BPSO | 865602.325 | 866731.7 | 866167.0125 | 798.588721 | 147.7737961 |
| | G4-BPSO | 862663.075 | 869523.775 | 866093.425 | 4851.247494 | 146.6959447 |
| | G1-BPSO | 899460.975 | 904231.1625 | 901846.0688 | 3373.031929 | 147.3973123 |
| Cap83 | G2-BPSO | 905642.5125 | 909550.9875 | 907596.75 | 2763.709177 | 148.702049 |
| | G3-BPSO | 904914.575 | 919410.6125 | 912162.5938 | 10250.24642 | 146.8517095 |
| | G4-BPSO | 906678.625 | 910813.1375 | 908745.8813 | 2923.541826 | 147.1013641 |
| | G1-BPSO | 944008.525 | 958857.3875 | 951432.9563 | 10499.73137 | 79.9797149 |
| Cap84 | G2-BPSO | 953022.6375 | 975432.7875 | 964227.7125 | 15846.36903 | 79.1895906 |
| | G3-BPSO | 956402.3875 | 967562.1375 | 961982.2625 | 7891.134901 | 79.4995506 |
| | G4-BPSO | 967892.975 | 974525.75 | 971209.3625 | 4690.080181 | 79.3127924 |
| | | | | | | |







Fig. 3. Box Plot of G-BPSO family algorithms on UFLP problems.

G1-BPSO obtains the lowest average value in 9 out of the 16 tested UFLP instances. Some of these are Cap41, Cap42, Cap43, Cap44, Cap64, Cap73, Cap81, Cap83 and Cap84. These consistent performances to achieve lower average values make G1-BPSO more reliable to maintain stable solutions over iterations. As for problems Cap 61 and Cap 74, it can be stated that G4-BPSO is the most effective one, reaching the lowest average values. G4-BPSO does this in 2 of the 16 problems meaning that it is able to find the optimal solutions in the case of these two problems.

Concerning problems Cap62 and Cap82, G2-BPSO is more effective attaining the lowest average. G2-BPSO does this effectively in 2 of the 16 problems, which shows its ability to solve the problems optimally. Specifically, for problem Cap63, G4-BPSO is the best as it has the minimum average value. Thus, for problem Cap71, G3-BPSO is better as it has given the least average value. In problem Cap72, all the groups, G2-BPSO, G3-BPSO, G4-BPSO are equally efficient and they have the lowest average values. As seen, G1-BPSO has the lowest mean value in 56 % of MM SCIENCE JOURNAL I 2024 I DECEMBER the problems (9 out of 16), which clearly indicates that it is efficient in finding the global solutions. G4-BPSO accomplishes this in 19% of the problems (3 out of 16) proving its efficiency, yet randomness in comparison to G1-BPSO. G2-BPSO achieves this in only 13% of the problems (2 out of 16). G3-BPSO manages to do this in 6% of the problems (1 out of 16). These consistencies of G1-BPSO indicate that the algorithm can perform well consistently throughout the different runs depicted in the box plot (Fig. 3).

Comparing the variability of the results using standard deviation, the proposed G1-BPSO has less variability in 9 of the 16 problems. The problems are Cap41, Cap42, Cap44, Cap61, Cap64, Cap73, Cap74, Cap81 and Cap83. Thus, the effectiveness of the G1-BPSO in offering consistent and accurate solutions with low fluctuations between the various runs is evident. G4-BPSO is the best in solving all the four problems, Cap43, Cap63, Cap74 and Cap81 as it has the lowest standard deviation. G4-BPSO achieves this in 4 out of the 16 problems that were tested, which shows that it can produce reliable results in these problems. In problems Cap62 and Cap82, G2-BPSO is more favorable since it yields the lowest standard deviation. G2-BPSO achieves this in only 2 out of the 16 problems. In problem Cap71 and Cap84, G3-BPSO is best as it results in the lowest standard deviations. G3-BPSO is able to do this in 2 of the 16 problems. In problem Cap42, both G1-BPSO and G2-BPSO have the lowest standard deviation values which indicate that both algorithms are equally efficient. Likewise, G2-BPSO, G3-BPSO and G4-BPSO have the least standard deviation in problem Cap72 and hence, the performance is equal. From the analysis of the results, it can be noted that G1-BPSO yields the lowest standard deviation in 56% of the problems, thus showing that the proposed approach can yield more consistent solutions. This is done in 25% of the problems, again highlighting the effectiveness, but also the variability of G4-BPSO compared to G1-BPSO. G2-BPSO does this in 13% of the problems (2 out of 16) and G3-BPSO in 13% of the problems (2 out of 16). This constant behavior of G1-BPSO implies that the algorithm is reliable in attaining high performance in different runs depicted by the box plot in Fig. 3.

the computational time of the algorithms, it is evident that G2-BPSO was quicker in solving 6 of the 16 problems which are Cap41, Cap42, Cap61, Cap71, Cap72 and Cap84. G4-BPSO is the most efficient in seven problems out of sixteen problems which are Cap43, Cap44, Cap63, Cap64, Cap73, Cap74 and Cap81. Out of the four algorithms, G3-BPSO is the most efficient in solving Cap83. G1-BPSO is the fastest when it comes to solving Cap62. Even though the approach of G1-BPSO does not show the best time in most of the problems, it is relatively efficient in the most cases. With reference to computational time, G2-BPSO and G4-BPSO algorithms are more convergent in a higher number of cases. We can see that G2-BPSO is the fastest in 6 out of 16 problems (37. 5%). In the overall comparison, G4-BPSO outperforms all the other algorithms in solving 7 of 16 problems which is 43.75%. Thus, G3-BPSO is the fastest in one of the sixteen problems, which is equal to 6. 25%. G1-BPSO takes the least time in one problem out of 16 (6. 25%). This distribution also demonstrates that G2-BPSO and G4-BPSO are more efficient in terms of time than the other algorithms, but it likewise shows that, while G1-BPSO is not the fastest algorithm overall, it can be successful in certain conditions.

 Minimum Value: G1-BPSO > G4-BPSO > G2-BPSO ~ G3-BPSO

- Maximum Value: G1-BPSO > G2-BPSO > G3-BPSO ~ G4-BPSO
- Average Value: G1-BPSO > G2-BPSO > G3-BPSO ~ G4-BPSO
- Standard Deviation: G1-BPSO > G2-BPSO > G3-BPSO ~ G4-BPSO
- Time: G1-BPSO > G2-BPSO ~ G4-BPSO > G3-BPSO

The comparative performance measure for the minimum value, the maximum value, the average value and variance with respect to time suggests that G1-BPSO outperforms all other BPSO variants for nearly all the UFLP problems. For this reason, the degree of accuracy in determining the best solution values with low standard deviations and the CPU time make G1-BPSO the most suitable algorithm to solve the set of UFLP problems. It has been noted that sometimes G2-BPSO, G3-BPSO and G4-BPSO could perform well on some UFLPs while G1-BPSO outperforms and is more stable over a wide range of cases. The fact that it has the unique ability to find the best solutions within small standard deviations and reasonable CPU time makes it the most reliable tool for solving the given UFLP problem set.

5 CONCLUSION

This study introduced four novel Gaussian-shaped transfer functions designed through power functions, leading to the development of the binary particle swarm optimizer, G-BPSO for solving binary optimization problems. Comparative analysis of discrete particle swarm optimizer demonstrates that Gaussian-shaped transfer functions are optimal for PSO discretization. Furthermore, G-BPSO not only proves to be an exceptional algorithm for solving UFLP but also serves as a valuable reference for designing discrete evolutionary algorithms.

6 ACKNOWLEDGMENTS

This article was co-funded by the European Union under the REFRESH – Research Excellence For REgion Sustainability and High-tech Industries project number CZ.10.03.01/00/22_003/0000048 via the Operational Programme Just Transition and has been done in connection with project Students Grant Competition SP2024/087 "Specific Research of Sustainable Manufacturing Technologies" financed by the Ministry of Education, Youth and Sports and Faculty of Mechanical Engineering VŠB-TUO.

7 REFERENCES

[Abdel-Basset 2024] Abdel-Basset, M., Mohamed, R., Saber, S., Hezam, I. M., Sallam, K. M., & Hameed, I. A. (2024). Binary metaheuristic algorithms for 0-1 knapsack problems: Performance analysis, hybrid variants, and real-world application. Journal of King Saud University-Computer and Information Sciences, p. 102093. https://doi.org/10.1016/j.jksuci.2024.102093

[Alultan 1999] Alultan, K. S., & Al'Fawzan, M. A. (1999). A tabu search approach to the uncapacitated facility location problem. Annals of Operations Research, vol. 86(1), pp. 91–103.

[Baldomero-Naranjo 2024] Baldomero-Naranjo, M., Kalcsics, J., & Rodríguez-Chía, A. M. (2024). On the complexity of the upgrading version of the Maximal Covering Location Problem. Networks. https://doi.org/10.1002/net.22207 [Blekos 2024] Blekos, K., Brand, D., Ceschini, A., Chou, C. H., Li, R. H., Pandya, K., & Summer, A. (2024). A review on quantum approximate optimization algorithm and its variants. Physics Reports, vol. 1068, pp. 1–66. https://doi.org/10.1016/j.physrep.2024.03.002

[Choppakatla 2024] Choppakatla, N. D., Sivalenka, M. K. C., & Boda, R. (2024). Task ordering in multiprocessor embedded system using a novel hybrid optimization model. Multimedia Tools and Applications, pp. 1–25. https://doi.org/10.1007/s11042-024-19083-1

[Deng 2024] Deng, S., Xiao, S., Deng, Q., & Lu, H. (2024). A hovering swarm particle swarm optimization algorithm based on node resource attributes for hardware/software partitioning. The Journal of Supercomputing, vol. 80(4), pp. 4625–4647. https://doi.org/10.1007/s11227-023-05603-7

[Eberhart 1995] Eberhart, R., & Kennedy, J. (1995). A new optimizer using particles swarm theory. In Proceedings of the Sixth International Symposium on Micro Machine and Human Science, Nagoya, Japan.

[Ghaderi 2013] Ghaderi, A., & Jabalameli, M. S. (2013). Modeling the budget-constrained dynamic uncapacitated facility location-network design problem and solving it via two efficient heuristics: a case study of health care. Mathematical and Computer Modelling, vol. 57(3-4), pp. 382–400. https://doi.org/10.1016/j.mcm.2012.06.017

[Kennedy 1997] Kennedy, J., & Eberhart, R. (1997). A discrete binary version of the particle swarm algorithm. In Proceedings of the IEEE International Conference on Computational Cybernetics and Simulation.

[Kuehn 1963] Kuehn, A. A., & Hamburger, M. J. (1963). A heuristic program for locating warehouses. Management Science, vol. 9, pp. 643–666. https://doi.org/10.1287/mnsc.9.4.643

[Ling 2010] Ling, W., Fu, X., Menhas, M., & Fei, M. (2010). A Modified Binary Differential Evolution Algorithm. In Li, K., Fei, M., Jia, L., & Irwin, G. (Eds.), Life System Modeling and Intelligent Computing (vol. 6329), Springer Berlin / Heidelberg, pp. 49–57. https://doi.org/10.1007/978-3-642-15597-0_6

[Ling 2010] Ling, W., Xu, Y., Mao, Y., & Fei, M. (2010). A Discrete Harmony Search Algorithm. In Li, K., Li, X., Ma, S., & Irwin, G. W. (Eds.), Life System Modeling and Intelligent

Computing (vol. 98), Springer Berlin Heidelberg, pp. 37–43. https://doi.org/10.1007/978-3-642-15859-9_6

[Luh 2011] Luh, G., & Lin, C. (2011). A binary particle swarm optimization for continuum structural topology optimization. Applied Soft Computing, vol. 11, pp. 2833–2844. https://doi.org/10.1016/j.asoc.2010.11.013

[Mafarja 2017] Mafarja, M., Eleyan, D., Abdullah, S., & Mirjalili, S. (2017). S-shaped vs. V-shaped transfer functions for ant lion optimization algorithm in feature selection problem. In Proceedings of the International Conference on Future Networks and Distributed Systems (ICFNDS '17). https://doi.org/10.1145/3102304.3102325

[Mafarja 2018] Mafarja, M., Aljarah, I., Heidari, A. A., Faris, H., Fournier-Viger, P., Li, X., & Mirjalili, S. (2018). Binary dragonfly optimization for feature selection using timevarying transfer functions. Knowledge-Based Systems, vol. 161, pp. 185–204.

https://doi.org/10.1016/j.knosys.2018.08.003

[Mirjalili 2012] Mirjalili, S., & Hashim, S. Z. M. (2012). BMOA: binary magnetic optimization algorithm. International Journal of Machine Learning and Computing, vol. 2(3), pp. 204–208. https://doi.org/10.7763/IJMLC.2012.V2.114

[Mirjalili 2013] Mirjalili, S., & Lewis, A. (2013). S-shaped versus V-shaped transfer functions for binary particle swarm optimization. Swarm and Evolutionary Computation, vol. 9(4), pp. 1–14. https://doi.org/10.1016/j.swevo.2012.09.002

[Ozsoydan 2024] Ozsoydan, F. B., & Kasırga, A. E. (2024). Evolution inspired binary flower pollination for the uncapacitated facility location problem. Neural Computing and Applications, pp. 1–14. https://doi.org/10.1007/s00521-024-09684-0

[Premalatha 2024] Premalatha, M., Jayasudha, M., Čep, R., Priyadarshini, J., Kalita, K., & Chatterjee, P. (2024). A comparative evaluation of nature-inspired algorithms for feature selection problems. Heliyon, vol. 10(1). https://doi.org/10.1016/j.heliyon.2023.e23571

[Rashedi 2009] Rashedi, E., Nezamabadi-pour, H., & Saryazdi, S. (2009). BGSA: binary gravitational search algorithm. Natural Computing, vol. 9(3), pp. 727–745. https://doi.org/10.1007/s11047-009-9175-3